

## Algebraic codes are good

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## References

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## History

” Are long cyclic codes good” ?

Assmus-Mattson-Turyn (1966)

If  $C(n)$  is a family of codes of parameters  $[n, k_n, d_n]$ , the **rate**  $r$  is

$$r = \limsup_{n \rightarrow \infty} \frac{k_n}{n},$$

**relative distance**  $\delta$  is

$$\delta = \liminf_{n \rightarrow \infty} \frac{d_n}{n}.$$

A family of codes is said to be **good** iff  $r\delta > 0$ .

## Negative results

- S. Lin, E. Peterson, Long BCH codes are bad, Information and Control 11(4) :445–451, October 1967
- the most famous class of cyclic codes is **bad**
- T. Kasami, An upper bound on  $k/n$  for affine-invariant codes with fixed  $d/n$ , IEEE Trans. Inform. Theory (Corresp.), vol. IT-15, pp. 174–176. Jan. 1969
- $\Rightarrow$  **Affine invariant** cyclic codes are also bad.

## Hope

- R. J. McEliece, On the symmetry of good **nonlinear** codes, IEEE Trans. Inform. Theory, vol. IT-16, pp. 609–611, Sept. 1970
- $\Rightarrow$  there are good nonlinear shift-invariant codes
- L.M.J.Bazzi, S.K.Mitter, Some randomized code constructions from group actions, IEEE Trans. Inform. Theory 52(2006), no. 7, 3210–3219
- $\Rightarrow$  long **dihedral** linear codes are good. Proof is involved.
- C. L. Chen, W. W. Peterson, E. J. Weldon, “Some results on quasi-cyclic codes”, *Information and Control*, vol. 15, no. 5, pp. 407–423, Nov. 1969.
- $\Rightarrow$  long **quasi-cyclic** codes are easier to study than long cyclic codes.  
Reason : **random coding** work better when there are more codes !

## Plan

- self-dual **double circulant** codes are dihedral
- they are good by expurgated random coding argument
- cyclic codes over extension fields give quasi-cyclic codes by projection on a basis of the extension
- good quasi-cyclic codes give good **additive cyclic** codes over extension fields

## Dihedral codes

The **dihedral** group  $D_n$ , is the group of order  $2n$  with two generators  $r$  and  $s$  of respective orders  $n$  and  $2$  with the relation  $srs = r^{-1}$ .

$D_n$  is the group of orthogonal transforms (rotation or axial symmetries) of the  $n$ -gon.

A code of length  $2n$  is called **dihedral** if it is invariant under  $D_n$  acting transitively on its coordinate places.

## Double circulant codes

Codes over  $GF(q)$  of length  $2n$  with  $n$  odd and coprime to  $q$ .

A code is *double circulant* if its *generator matrix*  $G$  is of the form

$$G = (I, A)$$

$I$  is the identity matrix of order  $n$

$A$  is a *circulant* matrix of the same order.

circulant  $\Leftrightarrow$  each row obtained from the first by successive shifts.

*pure* double circulant is different from *bordered* double circulant  
(add a top row and middle column to  $G$ )



## Self-dual double circulant are dihedral

If  $q$  is even,  $C$  self-dual double circulant length  $2n$  then  $C$  is invariant under  $D_n$ .

The main idea :  $A$  is circulant  $\Rightarrow \exists$  permutation matrix  $P$  such that  $PAP = A^t$ .

Already observed in

C. Martinez-Perez, W. Willems,

Self-dual doubly even 2-quasi-cyclic transitive codes are asymptotically good,

IEEE Trans. Inform. Theory, IT-53, (2007) 4302–4308.

## Quasi-cyclic codes I

Let  $T$  denote the shift operator on  $n$  positions.

A linear code  $C$  is  **$\ell$ -quasi-cyclic** (QC) code if  $C$  is invariant under  $T^\ell$ , i.e.  $T^\ell(C) = C$ .

The smallest  $\ell$  with that property is called the **index** of  $C$ .

For simplicity we assume that  $n = \ell m$  for some integer  $m$ , sometimes called the **co-index**.

The special case  $\ell = 1$  gives the more familiar class of **cyclic codes**.

Double circulant codes of length  $2n$  are, up to equivalence, 2-quasicyclic of co-index  $n$ .

## Quasi-cyclic codes II

The ring theoretic approach to QC codes is via

$$R(m, q) = \mathbb{F}_q[x] / \langle x^m - 1 \rangle.$$

Thus cyclic codes of length  $m$  over  $\mathbb{F}_q$  are **ideals** of  $R(m, q)$  via the polynomial representation.

Similarly QC codes of index  $\ell$  and co-index  $m$  linear codes  $R(m, q)$  **submodules** of  $R(m, q)^\ell$ .

In the language of polynomials, a codeword of an  $\ell$ -quasi-cyclic code can be written as  $c(x) = (c_0(x), \dots, c_{\ell-1}(x)) \in R(m, q)^\ell$ .

Benefit : use CRT to decompose  $R(m, q)$  into direct sums of local rings

Look at shorter codes over larger alphabets.

## Expurgated random coding

Suppose we now there are  $\Omega_n$  codes of length  $n$  in the family we want to show of relative distance at least  $\delta$ .

Suppose that there are at most  $\lambda_n$  codes in the family containing a given nonzero vector.

Denote by  $B(r)$  the volume of the **Hamming ball** of radius  $r$ .

If, for  $n$  large enough, we can show that

$$B(\lfloor \delta n \rfloor) \lambda_n < \Omega_n$$

then the family will have relative distance  $\geq \delta$ .

## Algebraic counting

Let  $n$  denote a positive odd integer. Assume that  $-1$  is a square in  $GF(q)$ . If  $x^n - 1$  factors as a product of **two irreducible polynomials** over  $GF(q)$ ,

$$x^n - 1 = (x - 1)(x^{n-1} + \cdots + 1),$$

the number of self-dual double circulant codes of length  $2n$  is

$$\Omega_n = 2(q^{\frac{n-1}{2}} + 1) \text{ if } q \text{ is odd}$$

$$\Omega_n = (q^{\frac{n-1}{2}} + 1) \text{ if } q \text{ is even.}$$

The proof reduces to enumerating hermitian self-dual codes of length 2 in  $GF(q^{\frac{n-1}{2}})$ .

## How to have only two factors ?

In number theory, **Artin's conjecture** on primitive roots states that a given integer  $q$  which is neither a perfect square nor  $-1$  is a primitive root modulo **infinitely many primes**  $\ell$

It was proved conditionally under the Generalized Riemann Hypothesis (GRH) by Hooley in 1967.

In this case, by the correspondence between cyclotomic cosets and irreducible factors of  $x^\ell - 1$

the factorization of  $x^\ell - 1$  into irreducible polynomials over  $GF(q)$  contains exactly two factors, one of which is  $x - 1$

## Covering lemma

Let  $a(x)$  denote a polynomial of  $GF(q)[x]$  coprime with  $x^n - 1$ , and let  $C_a$  be the double circulant code with generator matrix  $(1, a)$ .

Assume the factorization of  $x^n - 1$  into irreducible polynomials is  $x^n - 1 = (x - 1)h(x)$ .

The following fact was proved first for  $q = 2$  in Chen, Peterson, Weldon (1969).

With the above assumptions, let  $u \in GF(q)^{2n}$ . If  $u \neq 0$  has Hamming weight  $< n$ , then there are at most  $\lambda_n = q$  polynomials  $a$  such that  $u \in C_a$ .

The proof uses the CRT decomposition of  $R(n, q)$ .

## Asymptotic bound

the  $q$ -ary **entropy function** is for  $0 < t < \frac{q-1}{q}$  by

$$H_q(t) = t \log_q(q-1) - t \log_q(t) - (1-t) \log_q(1-t).$$

If  $q$  is not a square, then, under **Artin's conjecture**, there are infinite families of self-dual double circulant codes of relative distance

$$\delta \geq H_q^{-1}\left(\frac{1}{4}\right).$$

Corollary : long dihedral codes are good.



## Double Negacirculant codes I

A linear code of length  $N$  is **quasi-twisted** of index  $\ell$  for  $\ell \mid N$ , and co-index  $m = \frac{N}{\ell}$  if it is invariant under the power  $T_\alpha^\ell$  of the **constashift**  $T_\alpha$  defined as

$$T_\alpha : (x_0, \dots, x_{N-1}) \mapsto (\alpha x_{N-1}, x_0, \dots, x_{N-2}).$$

A matrix  $A$  over a finite field  $\mathbb{F}_q$  is said to be **negacirculant** if its rows are obtained by successive negashifts ( $\alpha = -1$ ) from the first row.

We consider **double negacirculant** (DN) codes over finite fields, that is  $[2n, n]$  codes with generator matrices of the shape  $(I, A)$  with  $I$  the identity matrix of size  $n$  and  $A$  a negacirculant matrix of order  $n$ .

## Double Negacirculant codes II

The factorization of  $x^n + 1$  is in two factors when  $n$  is a power of 2. The proof is elementary and relies on *Dickson polynomial* (of the first kind)

This is the main difference with the double circulant case.

$$D_n(x, \alpha) = \sum_{p=0}^{\lfloor n/2 \rfloor} \frac{n}{n-p} \binom{n-p}{p} (-\alpha)^p x^{n-2p}.$$

The  $D_n$  satisfy the Chebyshev's like identity

$$D_n(u + \alpha/u, \alpha) = u^n + (\alpha/u)^n.$$

### Double Negacirculant codes III

If  $q$  is odd integer, and  $n$  is a power of 2, then there are infinite families of :

- (i) double negacirculant codes of relative distance  $\delta$  satisfying  $H_q(\delta) \geq \frac{1}{4}$ .
- (ii) self dual double negacirculant codes of relative distance  $\delta$  satisfying  $H_q(\delta) \geq \frac{1}{4}$ .

## Announcement

Inscriptions are open for **CIMPA School**

on

# QUASI-CYCLIC and Related ALGEBRAIC CODES ,

Ankara, Turkey, August 20 to September 6 2018 . Speakers  
include

- Buket Ozkaya : Generalized quasi-cyclic codes
- Joachim Rosenthal : convolutional codes and quasi-cyclic codes
- Roxana Smarandache : LDPC codes
- Olfa Yemen : cyclic codes leading to the notion of skew-cyclic codes

Travel **grants** and accomodation **grants** possible.

## Advertisement

If you have liked the CRT approach please buy our book!!!!

M. Shi, A. Alahmadi, P. Solé,

# *Codes and Rings : Theory and Practice*,

Academic Press, 2017.

More results on

- local rings, Galois rings, chain rings, Frobenius rings, . . .
- Lee metric, homogeneous metric, rank metric, RT-metric, . . .
- Quasi-twisted codes, consta-cyclic codes, skew-cyclic codes. . .

## A link between QC and cyclic codes

Given a basis  $B = \{e_0, e_1, \dots, e_{\ell-1}\}$  of  $\mathbb{F}_{q^\ell}$  over  $\mathbb{F}_q$  we can define the following map

$$\begin{aligned}\phi_B : R(m, q)^\ell &\rightarrow R(m, q^\ell) \\ (c_0(x), c_1(x), \dots, c_{\ell-1}(x)) &\mapsto \sum_{i=0}^{\ell-1} c_i(x) e_i.\end{aligned}$$

This map can be used to construct **additive** cyclic codes over  $\mathbb{F}_{q^\ell}$  from  $\ell$ -QC codes over  $\mathbb{F}_q$

The reverse map can be used to construct  $\ell$ -QC codes from cyclic codes over  $\mathbb{F}_{q^\ell}$

The map  $\phi_B^{-1}$  has been used since the 1980's to construct self-dual codes by TOB's.

## From cyclic codes to QC codes : minimum distance

Let  $\tilde{C}$  be a **quasi-cyclic** code of length  $\ell m$  and index  $\ell$  over  $\mathbb{F}_q$

Let  $C = \phi_B^{-1}(\tilde{C})$  be a **cyclic code** over  $\mathbb{F}_{q^\ell}$  with respect to a basis  $B = \{e_0, e_1, \dots, e_{\ell-1}\}$  of  $\mathbb{F}_{q^\ell}$  over  $\mathbb{F}_q$ .

Then  $d_{\mathbb{F}_q}(\tilde{C}) \geq d_{\mathbb{F}_{q^\ell}}(C)$ .

Equality holds if  $C$  has a minimum weight vector the nonzero components of which are elements of  $B$ .

## From cyclic codes to QC codes : duality

If  $C$  is a cyclic code over  $\mathbb{F}_{q^\ell}$  then we have

$$\phi_{B^*}^{-1}(C^\perp) = \phi_B^{-1}(C)^\perp.$$

If  $B = B^*$ , and  $C$  is **self-dual**, then  $\phi_B^{-1}(C)$  is self-dual.

Note that self-dual cyclic codes only exist for **even**  $q^\ell$ .

If  $B = B^*$ , and  $C$  is **LCD**, then  $\phi_B^{-1}(C)$  is LCD.



## From QC codes to additive cyclic codes I

An **additive cyclic code** over  $\mathbb{F}_{q^\ell}$ , is an  $\mathbb{F}_q$ -linear code over the alphabet  $\mathbb{F}_{q^\ell}$  that is invariant under the shift  $T$ .

Cyclic codes over  $\mathbb{F}_{q^\ell}$ , are additive cyclic, but not conversely. See e.g. the **dodecacode** over  $\mathbb{F}_4$ .

Are useful in **quantum error correction**. Have deep structure theory.

If  $C$  is an  $\ell$ -quasi-cyclic code of length  $n = \ell m$  over  $\mathbb{F}_q$  then  $\phi_B(C)$  is an additive cyclic code of length  $m$  over  $\mathbb{F}_{q^\ell}$ .

The codes in the image of  $\phi_B$  need not be  $\mathbb{F}_{q^\ell}$ -linear in general.

## From QC codes to additive cyclic codes II

Let  $m = \frac{n}{\ell}$ . Assume  $\phi_B(C)$  has constituents  $C_i$  in the CRT decomposition of the ring  $\mathbb{F}_q[x]/(x^m - 1)$ .

Write  $\mathbb{F}_{q^\ell} = \mathbb{F}_q(\alpha)$ . Denote by  $M_\alpha$  the **companion matrix** of the minimal polynomial of  $\alpha$ .

**Necessary condition** : If  $\phi_B(C)$  is  $\mathbb{F}_{q^\ell}$ -linear then each  $C_i$  is left wholly invariant by  $M_\alpha$ .

The theory of **invariant subspaces** allows us to write each  $C_i$  as a sum of invariant subspaces.

(joint work with Gueneri-Ozdemir to appear in Discrete Math).

## QC codes of given index are good

Let  $q$  be a prime power, and  $m$  be a prime.

If  $x^m - 1 = (x - 1)u(x)$ , with  $u(x)$  irreducible over  $\mathbb{F}_q[x]$ ,  
then for any fixed integer  $\ell \geq 2$ ,  
there are infinite families of QC codes of length  $n\ell$ , index  $\ell$ , rate  
 $1/\ell$  and of **relative distance**  $\delta$ ,

$$H_q(\delta) \geq \frac{\ell - 1}{\ell}$$

The proof uses expurgated random coding on codes with generator  
matrices of the form

$$(I, A_1, \dots, A_{\ell-1}).$$

## From QC codes to additive cyclic codes II

For an  $\ell$ -quasi-cyclic code of length  $n = \ell m$  over  $\mathbb{F}_q$  of distance  $d(C)$ , we have the bound on the distance of  $d(\phi_B(C))$  given by

$$d(\phi_B(C)) \geq \frac{d(C)}{\ell}.$$

## From QC codes to additive cyclic codes III

Combining good QC codes with the previous bound we obtain  
There are infinite families of additive cyclic codes of length  $m \rightarrow \infty$  over  $\mathbb{F}_{q^\ell}$  of rate  $1/\ell$  and relative distance

$$\delta \geq \frac{1}{\ell} H_q^{-1}(1 - 1/\ell).$$

## Variations

- from one-generator to two-generator codes
- four circulant codes= two-generator and index 4

$$G = \begin{pmatrix} I_n & 0 & A & B \\ 0 & I_n & -B^T & A^T \end{pmatrix}$$

- From **constacyclic** codes to **quasi-twisted** codes (joint work Shi, Guan, Sok)
- From **quasi-abelian** codes to **abelian** codes (joint work with Borello, Gueneri, Sacikara)

The last slide

Thanks for your attention !

Xie Xie Lah !!!