

# **On Code-Based Public-Key Cryptography: Introduction to McNie**

Jon-Lark Kim  
Sogang University, S. Korea

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# What is Post-Quantum Cryptography?

- According to Matteo Mariani (PQCrypto 2014), a quantum computer will be built in **15 years and can break RSA-2048**.
- Post-quantum cryptography (PQC) is also known as **“quantum-proof”, “quantum-safe” or “quantum-resistant”**
- PQC means **cryptographic algorithms** (usually public-key algorithms) that are thought to be **secure against an attack by a classical computer and a quantum computer**.

## Question

Are RSA and ECDSA safer under quantum computers?

# RSA and Elliptic Curve Cryptography are not safe.

- Peter Shore(1994) showed that there are polynomial time quantum algorithms to integer factorization problem and discrete log problem.
- This implies that RSA will be broken since it is based on the hardness of integer factorization.
- Elliptic curve cryptography(ECDSA), Digital Signature Algorithm(DSA) and Diffie-Hellman key exchange will be broken since they are based on the hardness of discrete log problem.
- Symmetric key cryptosystems such as AES, Triple DES needs larger keys.
- Hash functions such as SHA-1, SHA-2, and SHA-3 needs longer outputs (say double the outputs).

# NIST competition

- NIST called for quantum-resistant cryptographic algorithms for new public-key crypto standards.
  - ▶ Digital signatures
  - ▶ Encryption/key-establishment
- The time line as follows.
  - ▶ Nov 30, 2017 Deadline for submissions
  - ▶ 3-5 years: Analysis phase
  - ▶ 2 years later - Draft standards ready
  - ▶ Workshop April 2018: submitter's presentations
  - ▶ One or two more workshops during the analysis phase

# Submitted algorithms for NIST competition

	Signatures	KEM/Encryption	Overall
Lattice-based	5	21	26
Code-based	2	17	19
Multi-variate	7	2	9
Symmetric/Hash-based	3		3
Other	2	5	7
Total	19	45	64

- 82 submissions were received and 64 passed the first round.
- There are 5 submissions from Korea. They are McNie(Sogang U.), Lizard(Seoul Nat'l U.), pqsigRM(Seoul Nat'l U.), HiMQ-3(NIMS), EMBLEM and R.EMBLEM(Korea U.).
- There are 3 submissions from China. They are LAC(Chinese Academy of Science), Key Consensus from Lattice(Fudan University), Lepton(Shanghai Jiao Tong University).

## 25 Countries and 6 Continents



# McEliece: the first code-based cryptography

- The McEliece cryptosystem and its variants are well known code-based public key cryptosystems:

$$\mathbf{c} = \mathbf{m}G + \mathbf{e}$$

$$\text{public key } G = AG'P,$$

where  $\mathbf{m}$  is a message,  $\mathbf{c}$  is a ciphertext,  $G'$  is a secret generator matrix for a code which can correct errors  $\mathbf{e}$ ,  $A$  is a secret invertible matrix,  $P$  is a secret permutation matrix.

- However, McEliece cryptosystems with many algebraic codes with good structures have been broken due to their structures except for Goppa codes.



# McNie: a new code-based cryptography

- Our McNie is a new code-based public key cryptosystem which is less vulnerable against currently known structural attacks.
- There are five authors for McNie: Jon-Lark Kim, Lucky Galvez, Myung Jae Kim, Young Sik Kim, Nari Lee.
- McNie is one of the 64 algorithms which passed round 1 of 2017 NIST Competition for Post-Quantum Cryptography.
- McNie can use Hamming weight or rank weight in general.

# McNie- Key generation

- Consider Hamming weight or rank weight.

- Secret key:**  $(H, P, S, \Phi_H)$

$H$ : a parity check matrix for an  $[n, k]$  code  $C$  over  $\mathbb{F}_{q^m}$

$P$ : an  $n \times n$  permutation matrix

$S$ : an  $(n - k) \times (n - k)$  invertible matrix over  $\mathbb{F}_{q^m}$

$\Phi_H$ : an efficient decoding algorithm for  $C$  which corrects errors of weight up to  $r$

- Public key:**  $(G', F)$

$G'$ : Generator matrix for a random  $[n, l]$  code over  $\mathbb{F}_{q^m}$

$$F = G'P^{-1}H^T S$$

# McNie- Encryption

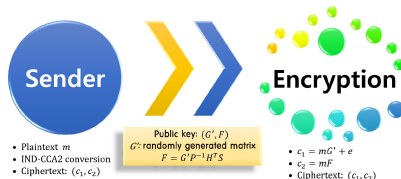
Message:  $\mathbf{m} \in \mathbb{F}_{q^m}^l$

- Randomly generate  $\mathbf{e} \in \mathbb{F}_{q^m}^n$  of weight  $r$

- $Enc(\mathbf{m}) = (\mathbf{c}_1, \mathbf{c}_2)$

$$\mathbf{c}_1 = \mathbf{m}G' + \mathbf{e}$$

$$\mathbf{c}_2 = \mathbf{m}F = \mathbf{m}G'P^{-1}H^T S$$



# McNie- Decryption

Received vector:  $\mathbf{c} = (\mathbf{c}_1, \mathbf{c}_2)$

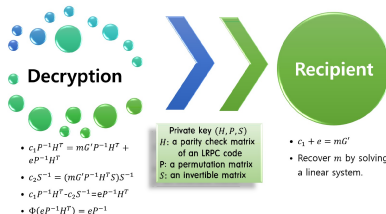
- Compute

$$\begin{aligned}\mathbf{s}' &= \mathbf{c}_1 P^{-1} H^T - \mathbf{c}_2 S^{-1} \\ &= (\mathbf{m}G' + \mathbf{e})P^{-1} H^T \\ &\quad - (\mathbf{m}G' P^{-1} H^T S)S^{-1} \\ &= \mathbf{e}P^{-1} H^T \\ \mathbf{e}' &= \Phi_H(\mathbf{s}') = \mathbf{e}P^{-1} \\ \mathbf{e} &= \mathbf{e}'P\end{aligned}$$

- Solve the system

$$\mathbf{m}G' = \mathbf{c}_1 - \mathbf{e}$$

to recover  $\mathbf{m}$ .



# Security reduction

The McNie cryptosystem is based on the following hard problem:

## (Rank) Syndrome Decoding Problem

Given an  $(n - k) \times n$  matrix  $H$ , a vector  $\mathbf{s}$  of length  $k$  and a positive integer  $r$ , find a vector  $\mathbf{e}$  of (rank) weight  $r$  such that  $\mathbf{s} = \mathbf{e}H^T$ .

- $\mathbf{c}_1 = \mathbf{m}G' + \mathbf{e}$  is an instance of the SD problem with parameters  $(n, l, r)$ .
- $\mathbf{c}_2 = \mathbf{m}F$  is an instance of the SD problem with parameters  $(l, l - (n - k), r)$

$$\begin{aligned}(\mathbf{c}_1, \mathbf{c}_2) &= (\mathbf{m}G' + \mathbf{e}, \mathbf{m}F) \\ &= \mathbf{m}[G'|F] + (\mathbf{e}|\mathbf{0}) \\ &= \mathbf{m}G_1 + \mathbf{e}_1\end{aligned}$$

where  $G_1 = [G'|F]$  and  $\mathbf{e}_1 = (\mathbf{e}|\mathbf{0})$ . This is an instance of the SD problem with parameters  $(2n - k, l, r)$ . Since  $G'$  and  $F$  are public keys, the parity check matrix for the code generated by  $G_1$  can be computed.

- This reduces to the (rank) syndrome decoding problem.

# Apply McNie to rank metric codes

Let  $\{\alpha_1, \alpha_2, \dots, \alpha_m\}$  be a basis for  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$ .

$$c = (c_1, \dots, c_n) \in \mathbb{F}_{q^m}^n \Leftrightarrow \bar{c} = \begin{bmatrix} c_{11} & \cdots & c_{1n} \\ \vdots & \ddots & \vdots \\ c_{m1} & \cdots & c_{mn} \end{bmatrix}, \quad c_j = \sum_{i=1}^m c_{ij} \alpha_i$$

- **rank weight:**  $w_R(c) = \text{Rank}(\bar{c})$
- **rank distance:**  $d_R(c, c') = \text{Rank}(\bar{c} - \bar{c}')$

A *rank metric code* is an  $[n, k]$  code over  $\mathbb{F}_{q^m}$  equipped with the rank metric.

A family of rank metric codes used in McNie:

A **Low Rank Parity Check (LRPC)** code of rank  $d$  is an  $[n, k]$  code over  $\mathbb{F}_{q^m}$  that has for its parity check matrix an  $(n - k) \times n$  matrix  $H = (h_{ij})$  such that the sub-vector space of  $\mathbb{F}_{q^m}^n$  generated by its coefficients  $h_{ij}$  has dimension at most  $d$ .

# Using 3-quasi-cyclic LRPC codes

We use circulant matrices and construct quasi-cyclic LRPC codes over  $\mathbb{F}_{q^m}$  in order to reduce key size.

Let  $n$  be a multiple of 3 and block size  $blk = \frac{n}{3}$ .

- Generate  $\mathbf{h}_1, \mathbf{h}_2, \mathbf{h}_3 \in \mathbb{F}_{q^m}^{blk}$  s.t.  $\dim \text{Supp}(\mathbf{h}_1, \mathbf{h}_2, \mathbf{h}_3) = d$
- Generate  $\mathbf{g}_1, \mathbf{g}_2 \in \mathbb{F}_{q^m}^{blk}$ .
- Let  $H_i, G_j$  be circulant matrices whose first row are  $\mathbf{h}_i$  and  $\mathbf{g}_j$ , resp.
- Let  $H = \begin{bmatrix} H_1 & H_2 & H_3 \end{bmatrix}$ ,  $G' = \begin{bmatrix} I_{blk} & 0 & G_1 \\ 0 & I_{blk} & G_2 \end{bmatrix}$
- Take  $P = I_n$  (to reduce a private key size) and  $S = (H_1^T + G_1 H_3^T)^{-1}$  which is also a circulant matrix.
- $F = G' P^{-1} H^T S$  has the following form :

$$F = \begin{bmatrix} I_{\frac{n}{3}} \\ F' \end{bmatrix},$$

where  $F' = (H_2^T + G_2 H_3^T)(H_1 + H_3 G_1^T)^{-1}$ .

# Using 4-quasi-cyclic LRPC codes

Let  $n$  be divisible by 4 and block size  $blk = \frac{n}{4}$ .

- Generate  $\mathbf{h}_1, \mathbf{h}_2, \dots, \mathbf{h}_8 \in \mathbb{F}_{q^m}^{blk}$  s.t.  $\dim \text{Supp}(\mathbf{h}_1, \mathbf{h}_2, \dots, \mathbf{h}_8) = d$ .
- Generate vectors  $\mathbf{g}_1, \mathbf{g}_2, \mathbf{g}_3 \in \mathbb{F}_{q^m}^{blk}$ .
- Let  $H = \begin{bmatrix} H_1 & H_2 & H_3 & H_4 \\ H_5 & H_6 & H_7 & H_8 \end{bmatrix}$ ,  $G' = \begin{bmatrix} I_{blk} & 0 & 0 & G_1 \\ 0 & I_{blk} & 0 & G_2 \\ 0 & 0 & I_{blk} & G_3 \end{bmatrix}$
- Take  $P = I_n$  and  $\bar{S} = \begin{bmatrix} S_1 & S_2 \\ S_3 & S_4 \end{bmatrix}$ , where  $S_1, S_2, S_3, S_4$  are  $blk \times blk$  circulant matrices.
- $\bar{F} = G'P^{-1}H^T S = \begin{bmatrix} F_1 & F_2 \\ F_3 & F_4 \\ F_5 & F_6 \end{bmatrix}$
- Reduce  $\bar{F}$  in column echelon form  $F = \bar{F}E = \begin{bmatrix} I_{blk} & 0 \\ 0 & I_{blk} \\ F' & F'' \end{bmatrix}$ , where

$$E = \begin{bmatrix} E_1 & E_2 \\ E_3 & E_4 \end{bmatrix} = \begin{bmatrix} (F_2^{-1}F_1 - F_4^{-1}F_3)^{-1}F_2^{-1} & (F_4^{-1}F_3 - F_2^{-1}F_1)^{-1}F_4^{-1} \\ -F_4^{-1}F_3E_1 & -F_2^{-1}F_1E_2 \end{bmatrix}.$$



# Suggested parameters

Parameter	$n$	$k$	$l$	$blk$	$d$	$r$	$m$	$q$	Category
encrypt/3Q_128_1	93	62	62	31	3	5	37	2	1
encrypt/3Q_128_2	105	70	70	35	3	5	37	2	1
encrypt/3Q_192_1	111	74	74	37	3	7	41	2	3
encrypt/3Q_192_2	123	82	82	41	3	7	41	2	3
encrypt/3Q_256_1	111	74	74	37	3	7	59	2	5
encrypt/3Q_256_2	141	94	94	47	3	9	47	2	5

**Table:** Suggested parameters using 3-quasi-cyclic LRPC codes

Parameter	$n$	$k$	$l$	$blk$	$d$	$r$	$m$	$q$	Category
encrypt/4Q_128_1	60	30	45	15	3	5	37	2	1
encrypt/4Q_128_2	72	36	54	18	3	5	37	2	1
encrypt/4Q_192_1	76	38	57	19	3	7	41	2	3
encrypt/4Q_192_2	84	42	63	21	3	7	41	2	3
encrypt/4Q_256_1	76	38	57	19	3	7	53	2	5
encrypt/4Q_256_2	88	44	66	22	3	8	47	2	5

**Table:** Suggested parameters using 4-quasi-cyclic LRPC codes

# Key sizes for suggested parameters

Parameter	Decryption		Public Key Size (bytes)	Private Key Size (bytes)	Message Size (bytes)	Ciphertext Size (bytes)
	failure 1	failure 2				
encrypt/3Q_128_1	-17	-34	431	194	314	579
encrypt/3Q_128_2	-20	-34	486	218	358	653
encrypt/3Q_192_1	-17	-26	569	247	454	764
encrypt/3Q_192_2	-20	-26	631	274	505	846
encrypt/3Q_256_1	-17	-62	819	337	636	1097
encrypt/3Q_256_2	-20	-22	829	348	699	1110

**Table:** Key sizes for the suggested parameters for McNie using 3-QC-LRPC codes

Parameter	Decryption		Public Key Size (bytes)	Private Key Size (bytes)	Message Size (bytes)	Ciphertext Size (bytes)
	failure 1	failure 2				
encrypt/4Q_128_1	-16	-34	347	340	215	422
encrypt/4Q_128_2	-21	-34	417	401	264	505
encrypt/4Q_192_1	-18	-26	487	465	336	590
encrypt/4Q_192_2	-21	-26	539	512	373	651
encrypt/4Q_256_1	-18	-50	630	584	432	761
encrypt/4Q_256_2	-20	-30	647	601	461	781

**Table:** Key sizes for the suggested parameters for McNie using 4-QC-LRPC codes

# McNie vs other cryptosystems

Security Level	McNie		DC-LRPC [3]	DC-MDPC [6]	QD-Goppa [7]	Goppa [2]
	3-quasi	4-quasi				
128	<b>3441</b>	<b>2775</b>	2809	9857	32768	1537536
192	<b>4551</b>	<b>3895</b>	-	-	45056	4185415
256	<b>6549</b>	<b>5035</b>	-	32771	65536	7667855

Table: Key-size (bits) comparison with other code-based cryptosystems

Security Level	McNie		NTRU	RSA	ECC	ECC AWC
	3-quasi	4-quasi				
128	<b>3441</b>	<b>2775</b>	4939	3072	256	277280
192	<b>4551</b>	<b>3895</b>	6523	7680	384	936618
256	<b>6549</b>	<b>5035</b>	8173	15360	512	1595434

Table: Comparison of key sizes (bits)

# Recent attack on McNie based on 3,4-QC LRPC codes by P. Gaborit

- Let  $\mathbf{m} = (m_1, m_2, \dots, m_l)$
- From  $\mathbf{c}_2 = \mathbf{m}F$ , we obtain  $n - k$  linear relations of the  $m_i$ 's. Hence, all the  $m_i$ 's can be expressed in terms of some fixed  $l - (n - k)$  coordinates.
- We can rewrite  $c_1$  as

$$c_1 = \mathbf{m}'G'' + \mathbf{e}$$

where  $G''$  is of dimension  $l - (n - k)$ .

- So one can attack a code of dimension  $l - (n - k)$  instead of a code of dimension  $l$  which reduces the security level.

# Improvement on generic attacks on RSD(Rank Syndrome Decoding) by Aragon, Gaborit, Hauteville, Tillich [1]

The attack is an adaptation of the ISD attack to RSD.

This improvement uses the  $\mathbb{F}_{q^m}$ -linearity of the code.

The main idea is to consider the code  $C' = C + \mathbb{F}_{q^m}\mathbf{e}$ . The problem is then reduced to finding a weight  $r$  codeword in  $C'$ .

Instead of looking for the support  $E$  of the error  $\mathbf{e}$ , we can look for a multiple  $\alpha E$ ,  $\alpha \in \mathbb{F}_{q^m}^*$ , of the support. This attack has complexity

$$\mathcal{O}(n-k)^3 m^3 q^{r \frac{(k+1)m}{n} - m}.$$

# Updated parameters for 3,4-QC LRPC codes

$n$	$l$	$k$	$d$	$r$	$m$	$q$	failure	Key Size (bytes)	security
120	80	80	3	8	53	2	-23	795	128
138	92	92	3	10	67	2	-25	1156	192
156	104	104	3	12	71	2	-27	1385	256

**Table:** New suggested parameters for McNie using 3-quasi-cyclic LRPC code

$n$	$l$	$k$	$d$	$r$	$m$	$q$	failure	Key Size (bytes)	security
92	46	69	3	10	59	2	-36	849	128
112	56	84	3	13	67	2	-38	1173	192
128	64	96	3	16	73	2	-36	1460	256

**Table:** New suggested parameters for McNie using 4-quasi-cyclic LRPC code

# Second attack on McNie

After the presentation at NIST conference on PQC, another attack on McNie was reported.

- Recently Terry Shue Chien Lau and Chik How Tan [5] gave an attack on McNie based on 3 or 4 quasi cyclic LRPC codes to reduce the security level.

Revised Parameters in [11]																	
3-Quasi-Cyclic LRPC									4-Quasi-Cyclic LRPC								
$n$	$k$	$l$	$d$	$r$	$m$	$q$	Claimed Security	KRA Complexity	$n$	$k$	$l$	$d$	$r$	$m$	$q$	Claimed Security	KRA Complexity
120	80	80	3	8	53	2	128	87	92	46	69	3	10	59	2	128	107
138	92	92	3	10	67	2	192	103	112	56	84	3	13	67	2	192	119
156	104	104	3	12	71	2	256	107	128	64	96	3	16	73	2	256	127

- In our algorithm we assumed that  $P = I_n$ . Hence if the permutation matrix  $P \neq I_n$ , this attack can be avoided.

# McNie based on Gabidulin codes

- Terry Shue Chien Lau and Chik How Tan [5] also suggested McNie based on Gabidulin codes, which public key sizes are about **1.8 KB at the 128 security level with no error failure probability**.

$m$	$n$	$k$	$l$	$t_1$	$t_2$	$q$	Sec.	PK	SK	CT
43	38	14	37	9	3	2	128	1.88KB	0.98KB	0.33KB
44	40	14	38	10	3	2	129	1.94KB	1.07KB	0.36KB
50	45	19	44	10	3	2	192	3.21KB	1.36KB	0.44KB
52	47	19	45	11	3	2	198	3.40KB	1.48KB	0.49KB
57	52	20	51	13	3	2	257	4.70KB	1.80KB	0.60KB
59	54	22	51	13	3	2	257	4.88KB	1.94KB	0.63KB



# Security of the GPT cryptosystems

H. Rashwan, E. M. Gabidulin and B. Honary

Security of the GPT cryptosystem

**Table III.** Comparison of the three public-key cryptosystems.

PKC	Parameters	Public Key size (bits)	Complexity	Security
McEliece	Binary, $n = 1024$ , $K = 534$ , $t = 50$ .	$2^{19}$	$> 2^{64}$	Insecure Bernstein Attack
McEliece Modified 1	Binary, $n = 1632$ , $K = 1269$ , $t = 33$ .	$2^{19}$	$> 2^{64}$	Secure
McEliece Modified 2	Binary, $n = 2960$ , $K = 2288$ , $t = 56$ .	$2^{21}$	$> 2^{64}$	Secure
McEliece Modified 3	Binary, $n = 6624$ , $K = 5129$ , $t = 115$ .	$2^{23}$	$> 2^{64}$	Secure
Niederreiter	q-array, $n = 128$ , $d = 64$ , $r = 63$ .	32,000	$> 2^{21}$	Insecure
Niederreiter Modified 1	q-array, $n = 128$ , $d = 64$ , $r = 63$ .	32,000	$> 2^{75}$	Secure
GPT Modified	$q = 2^{20}$ , $n = 20$ , $d = 9$ , $k = 12$ , $t_1 = 1$ .	4800	$> 2^{46}$	Insecure Gibson Attack
GPT Modified 1	$q = 2^{20}$ , $n = 20$ , $d = 9$ , $k = 12$ , $t_1 = 2$ .	4800	$> 2^{66}$	Insecure Gibson Attack
GPT Modified 2	$q = 2^{20}$ , $n = 20$ , $d = 11$ , $k = 10$ , $t_1 = 3$ .	4800	$> 2^{96}$	Insecure Gibson Attack
GPT Modified 3	$q = 2^{29}$ , $n = 29$ , $d = 15$ , $k = 15$ , $t = 7$ , $t_1 = 4$ , $s = 1$ .	19 Kbits	$> 2^{160}$	Insecure Overbeck's Attack
GPT Modified 4	$q = 2^{32}$ , $n = 32$ , $d = 17$ , $k = 16$ , $t = 8$ , $t_1 = 4$ , $p = 3$ .	13 Kbits	$> 2^{164}$	Insecure Overbeck's Attack
GPT Reducible Rank codes	$q = 2^{20}$ , $N = 20$ , $n = 40$ , $k = 24$ , $t = 4$ , $t_1 = 3$ , $m = 10$ , $r = 2$ .	10 Kbits	$> 2^{70}$	Insecure Overbeck's Attack
Instrumental approach	$q = 2^{20}$ , $n = 20$ , $d = 11$ , $k = 10$ , $t = 5$ , $t_1 = 4$ .	4 Kbits	$> 2^{80}$	Secure against all known Attack

Note that the Instrumental approach at the bottom of the table is not secure now.

# A modified McNie with Gabidulin

- We have modified McNie to make an enhanced McNie with Gabidulin whose public key size is about **1.4 KB at the 128 security level**. We will submit our result soon.

Table 1: Suggested parameters for McNie2-Gabidulin

$n$	$k$	$l$	$q$	$m$	$r$	Sec	PK	SK	CT
24	12	22	2	41	6	128	1.476	0.308	0.185
32	16	24	2	53	8	192	2.756	0.530	0.318
36	18	29	2	59	9	256	4.116	0.664	0.399

# Connection between Ouroboros-R and McNie

- Gaborit posted a message recovery attack on the McNie cryptosystem that significantly reduced the security of the original suggested parameters.
- To avoid this attack, we modify the encryption algorithm by introducing an error  $\mathbf{e}_2$  on  $\mathbf{c}_2$ .
- We submitted a below joint paper.  
P. Gaborit, L. Galvez, A. Hauteville, J.-L. Kim, M. J. Kim, Y.-S. Kim,  
“Dual-Ouroboros: An improvement of the McNie Scheme”, submitted to  
Advances in Mathematics of Communication.

# Connection between Ouroboros-R and McNie: Key generation

## Key Generation

$H$  : a parity check matrix for an  $[n, k]$  an LRPC code over  $\mathbb{F}_{q^m} \Rightarrow H' = [H \quad I]$  is still a parity-check for an LRPC code.

$\Phi_{H'}$  : an efficient decoding algorithm using  $H'$ , which can correct errors of weight up to  $r$ .

$G$  : a random generator matrix for an  $[n, l]$  linear code

$P$  : a random isometric matrix

$$F = GP^{-1}H^T$$

- Public Key:  $(G, F)$
- Secret Key:  $(H, \Phi_{H'})$

## Definition

An  $n \times n$  invertible matrix  $P$  over  $\mathbb{F}_{q^m}$  is called an *isometric matrix* if  $P$  preserves the weight of any vector in  $\mathbb{F}_{q^m}^n$ , i.e., for every  $\mathbf{x} \in \mathbb{F}_{q^m}^n$ ,  $wt(\mathbf{x}P) = wt(\mathbf{x})$ .

# Connection between Ouroboros-R and McNie

## Encryption

Randomly generate  $\mathbf{e}_1 \in \mathbb{F}_{q^m}^n$  and  $\mathbf{e}_2 \in \mathbb{F}_{q^m}^{n-k}$  such that  $rk(\mathbf{e}) = rk(\mathbf{e}_1, \mathbf{e}_2) = r$

$$\mathbf{c}_1 = \mathbf{m}G + \mathbf{e}_1$$

$$\mathbf{c}_2 = \mathbf{m}F + \mathbf{e}_2.$$

The message  $\mathbf{m} \in \mathbb{F}_{q^m}^l$  is encrypted as  $Enc(\mathbf{m}) = (\mathbf{c}_1, \mathbf{c}_2)$ .

# Connection between Ouroboros-R and McNie

## Encryption

Randomly generate  $\mathbf{e}_1 \in \mathbb{F}_{q^m}^n$  and  $\mathbf{e}_2 \in \mathbb{F}_{q^m}^{n-k}$  such that  $rk(\mathbf{e}) = rk(\mathbf{e}_1, \mathbf{e}_2) = r$

$$\mathbf{c}_1 = \mathbf{m}G + \mathbf{e}_1$$

$$\mathbf{c}_2 = \mathbf{m}F + \mathbf{e}_2.$$

The message  $\mathbf{m} \in \mathbb{F}_{q^m}^l$  is encrypted as  $Enc(\mathbf{m}) = (\mathbf{c}_1, \mathbf{c}_2)$ .

## Decryption

When  $\mathbf{y} = (\mathbf{c}_1, \mathbf{c}_2)$  is received, compute

$$\begin{aligned}\mathbf{c}_1 P^{-1} H^T - \mathbf{c}_2 &= \mathbf{m} G P^{-1} H^T + \mathbf{e}_1 P^{-1} H^T - \mathbf{m} G P^{-1} H^T - \mathbf{e}_2 \\ &= \mathbf{e}_1 P^{-1} H^T - \mathbf{e}_2 \\ &= (\mathbf{e}_1 P^{-1}, -\mathbf{e}_2) H'^T \\ &= \mathbf{e}' H'^T\end{aligned}$$

Since  $rk(\mathbf{e}') = rk(\mathbf{e}_1 P^{-1}, -\mathbf{e}_2) = r$  apply  $\Phi_{H'}$  to obtain  $(\mathbf{e}'_1, -\mathbf{e}_2)$  and then apply the isometric matrix  $P$  to  $\mathbf{e}'_1 = \mathbf{e}_1 P^{-1}$  to obtain  $\mathbf{e}_1$ .

Finally, solve the system  $\mathbf{m}G' = \mathbf{c}_1 - \mathbf{e}_1$  to recover  $\mathbf{m}$ .

# Dual-Ouroboros KEM

This modification when adapted into a KEM leads to a noncyclic dual version of the Ouroboros-R scheme.

**Encapsulation:** pick vectors  $\mathbf{r} \in \mathbb{F}_{q^m}^l$ ,  $\mathbf{e}_1 \in \mathbb{F}_{q^m}^n$  and  $\mathbf{e}_2 \in \mathbb{F}_{q^m}^{n-k}$  such that  $\mathbf{e} = (\mathbf{e}_1, \mathbf{e}_2)$  has weight  $r$ ,  $E = \text{Supp}(\mathbf{e})$

$$\mathbf{c}_1 = \mathbf{r}G + \mathbf{e}_1, \quad \mathbf{c}_2 = \mathbf{r}F + \mathbf{e}_2.$$

The encapsulation is  $\mathbf{c} = (\mathbf{c}_1, \mathbf{c}_2)$  and the shared key is  $K = \text{Hash}(E)$ .

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The encapsulation is  $\mathbf{c} = (\mathbf{c}_1, \mathbf{c}_2)$  and the shared key is  $K = \text{Hash}(E)$ .

**Decapsulation:** same except that only the support  $E$  is needed to recover the shared key  $K$ .

	Ouroboros-R	Dual-Ouroboros
public key	$\mathbf{h} \in \mathbb{F}_{q^m}^{N \times N}$ $\mathbf{s} = \mathbf{x} + \mathbf{h}\mathbf{y} \in \mathbb{F}_{q^m}^{N \times N}$ $= \begin{pmatrix} I_N & \mathbf{h} \end{pmatrix} \begin{pmatrix} \mathbf{x} \\ \mathbf{y} \end{pmatrix}$	$G \in \mathbb{F}_{q^m}^{l \times n}$ $F = GP^{-1}H \in \mathbb{F}_{q^m}^{l \times (n-k)}$
private key	$\mathbf{x}, \mathbf{y} \in \mathbb{F}_{q^m}^{N \times N}$	$H \in \mathbb{F}_{q^m}^{(n-k) \times n}$ $n \times n$ isometric matrix $P$
encryption	$\mathbf{s}_r = \mathbf{r}_2\mathbf{h} + \mathbf{r}_1$ $\mathbf{s}_e = \mathbf{r}_2\mathbf{s} + \mathbf{e}_r$	$\mathbf{c}_1 = \mathbf{r}G + \mathbf{e}_1$ $\mathbf{c}_2 = \mathbf{r}F + \mathbf{e}_2$



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THANK YOU  
VERY MUCH!